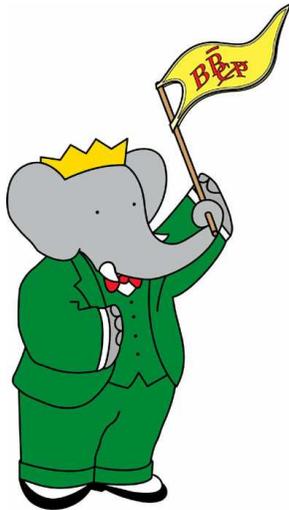


Charm Mixing and CPV at BaBar experiment

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on behalf of BaBar Collaboration



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of Fundamental Interactions

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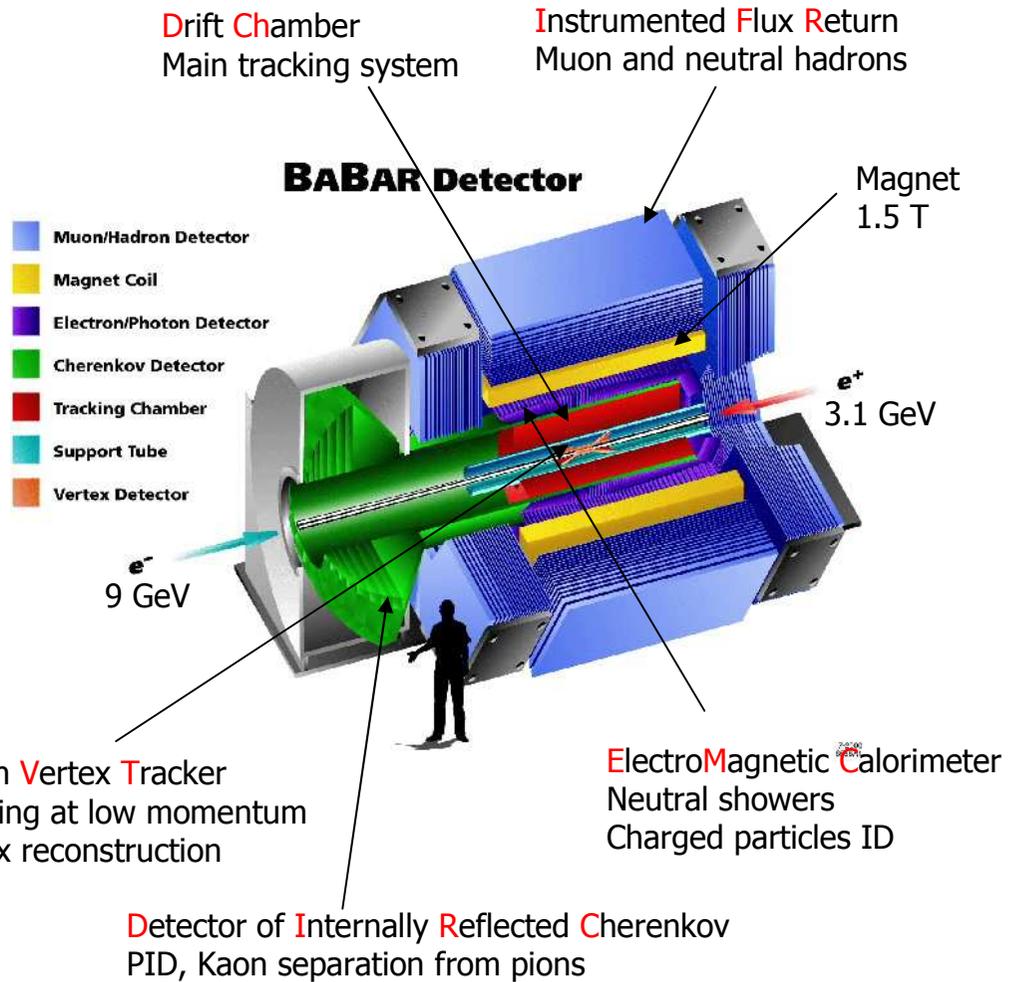
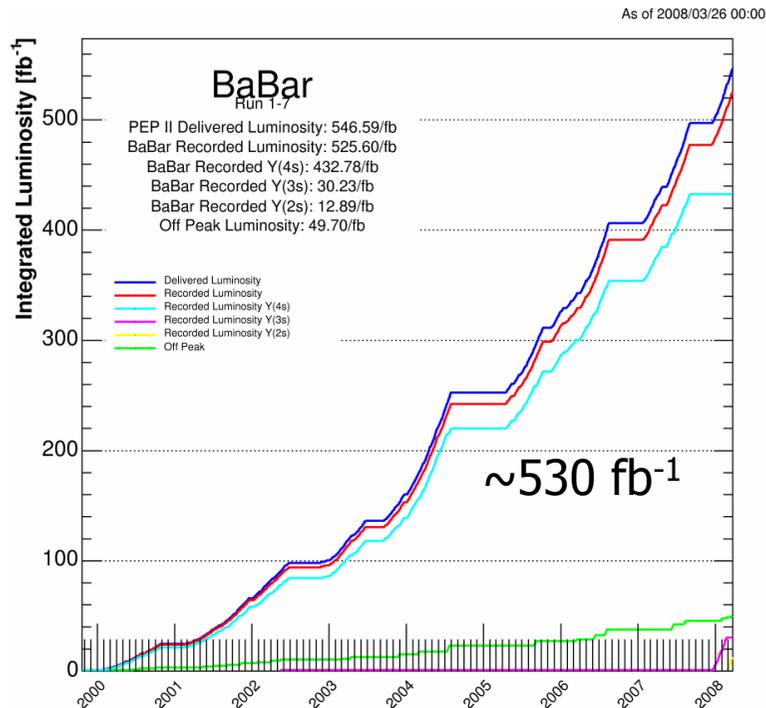
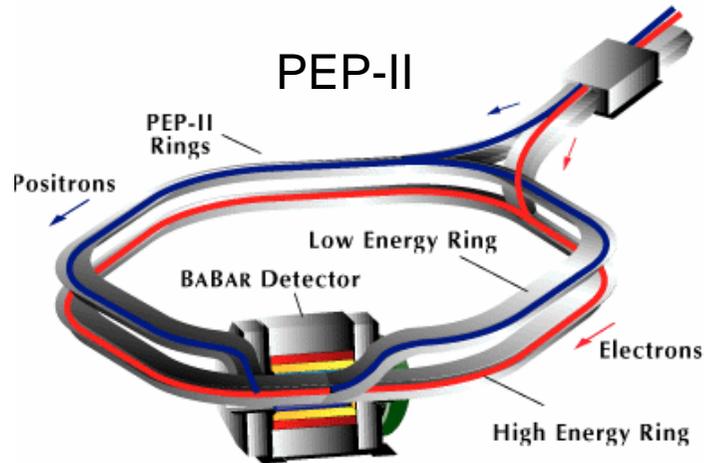


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Outline

- BaBar detector
- D^0 production and selection
- Mixing and CPV notation
- Standard Model predictions and new physics
- Charm Mixing results
 - Time dependent wrong-sign hadronic decays
 - Time dependent semileptonic decays
 - Lifetime ratios between states of different CP
- Charm CPV results
 - Time integrated searches
- Summary

BaBar detector



D⁰ production and selection

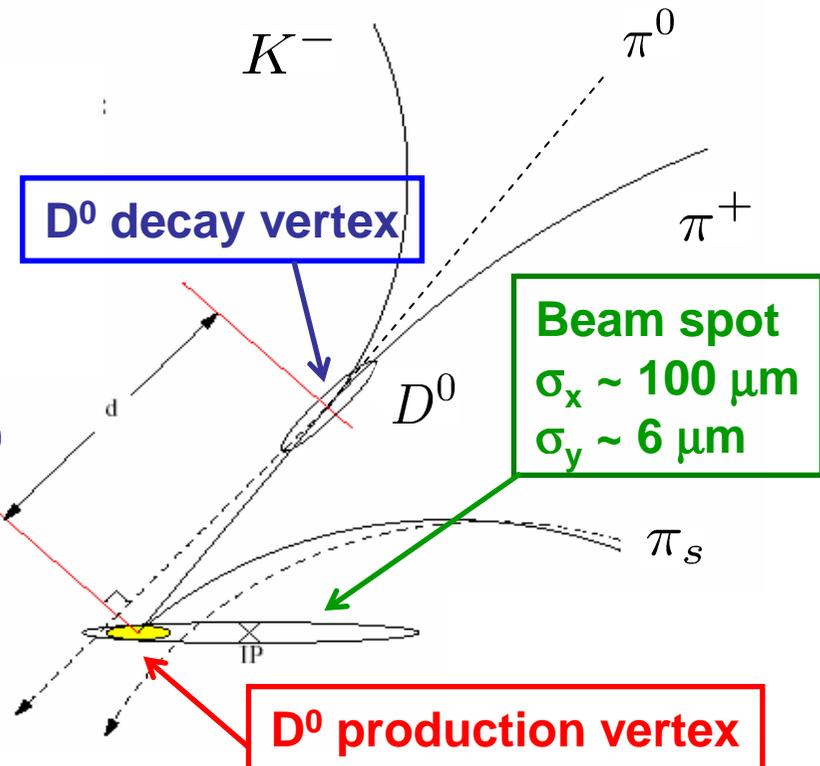
- D⁰ tagged at production
 - Inclusive D* production
 - D*⁺ → D⁰π_s⁺
 - D⁰ flavor determined by the charge of the π_s
 - e⁺e⁻ → c \bar{c} events have high D⁰ momentum in Y(4S) CM. Reject B \bar{B} events.

- D⁰ tagged at decay
 - WS (wrong sign) and RS (right sign) D⁰ decay products determine also its flavor

- Beam spot constraint determines t and σ_t. It improves also the m_D and Δm = m(D⁰π_s) - m(D⁰) resolutions

$$\sigma(e^+e^- \rightarrow c\bar{c}) \sim 1.3\text{nb}$$

$$\sigma(e^+e^- \rightarrow b\bar{b}) \sim 1.1\text{nb}$$



Mixing and CPV notation

D^0 - \bar{D}^0 mixing

- Flavor mixing occurs when **flavor eigenstates** differ from **mass eigenstates**

$$|D_{1,2}^0\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

$$|p|^2 + |q|^2 = 1$$

- Mixing parameters are given by

$$x = \frac{m_2 - m_1}{\Gamma}$$

$$y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$$

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$$

- Mixing has been well determined in K , B_s , B_d systems

CP violation

- The amplitudes of the D^0 meson into a final state f can be written as:

$$A_f = \langle f|\mathcal{H}|D^0\rangle, \quad \bar{A}_f = \langle f|\mathcal{H}|\bar{D}^0\rangle$$

- CPV in the decay (direct)

$$\frac{\bar{A}_{\bar{f}}}{A_f} \neq 1$$

- CPV in the mixing (indirect)

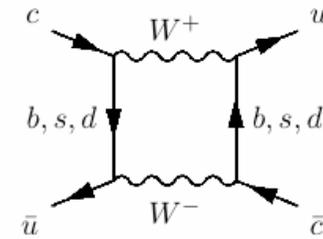
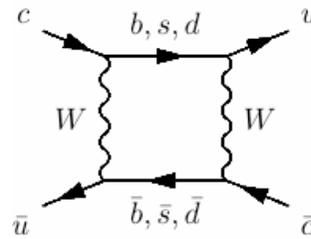
$$\left| \frac{q}{p} \right|^2 \neq 1$$

- CPV in the interference between mixing and decay

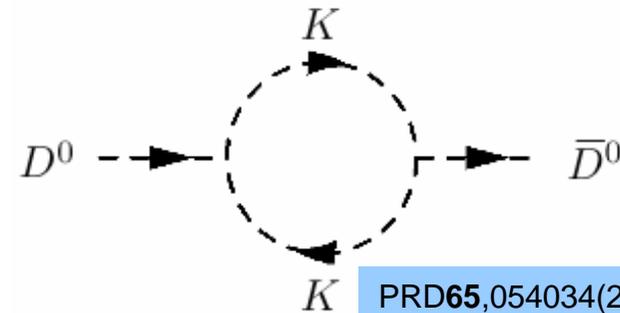
$$\arg \left(\frac{q}{p} \frac{\bar{A}_f}{A_f} \right) \neq 0$$

SM predictions and NP

- Short-distance contributions
 - From mixing box diagrams
 - SM predicts mixing to be small
 - b quarks are CKM suppressed
 - s and d quarks are GIM suppressed
 - Contributes mainly to x



- Long-distance contributions
 - Via hadronic intermediate states
 - Expected to dominate
 - Still a small effect
 - Non-perturbative and hard to estimate
 - Predictions give x, y in $(0.001-0.01)$ and $|x| < |y|$



PRD65,054034(2002)
PRD69,114021(2004)

- NP through new particles in the loops
- If $x \gg y$, this could be a hint of NP
- No CPV expected in SM with current sensitivity, however it is a “smoking gun” for NP since SM predicts CPV to be $< 10^{-3}$.

Charm mixing and CPV analyses

➤ Mixing

$$\Rightarrow D^0 \rightarrow K^+ \pi^-$$

$$\Rightarrow D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$$

$$\Rightarrow D^0 \rightarrow K^{(*)-} l^+ \nu$$

$$\Rightarrow D^0 \rightarrow K^+ \pi^- \pi^0$$

$$\Rightarrow D^0 \rightarrow K_S^0 \pi^+ \pi^-$$



Not presented

➤ CPV

$$\Rightarrow D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$$

$$\Rightarrow D^0 \rightarrow \pi^+ \pi^- \pi^0$$

$$\Rightarrow D^0 \rightarrow K^+ K^- \pi^0$$



Charm Mixing Analyses

Time Dependent (TD) WS hadronic decays. $D^0 \rightarrow K^+ \pi^-$

- Reconstruction of wrong signal decay chain: $D^{*+} \rightarrow D^0 \pi_s^+$, $D^0 \rightarrow K^+ \pi^-$
- Contributions
 - Doubly-Cabibbo-supressed (DCS) decay: $D^0 \rightarrow K^+ \pi^-$
 - Mixing & Cabibbo-favoured (CF) decay : $D^0 \rightarrow \bar{D}^0 \rightarrow K^+ \pi^-$
 - Interference
- Distinguish contributions using the different time dependences, $x, y \ll 1$

$$\Gamma_{\text{WS}}(t) = e^{-\Gamma t} \left(\underbrace{R_D}_{\text{DCS}} + \underbrace{y' \sqrt{R_D}}_{\text{Interference}} (\Gamma t) + \underbrace{\frac{x'^2 + y'^2}{4}}_{\text{Mixing}} (\Gamma t)^2 \right)$$

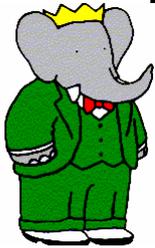
$$\begin{aligned} x' &= x \cos \delta_{K\pi} + y \sin \delta_{K\pi} \\ y' &= -x \sin \delta_{K\pi} + y \cos \delta_{K\pi} \end{aligned}$$

$$R_D = \frac{\Gamma(D^0 \rightarrow K^+ \pi^-)}{\Gamma(D^0 \rightarrow K^- \pi^+)} \sim 3 \times 10^{-3}$$

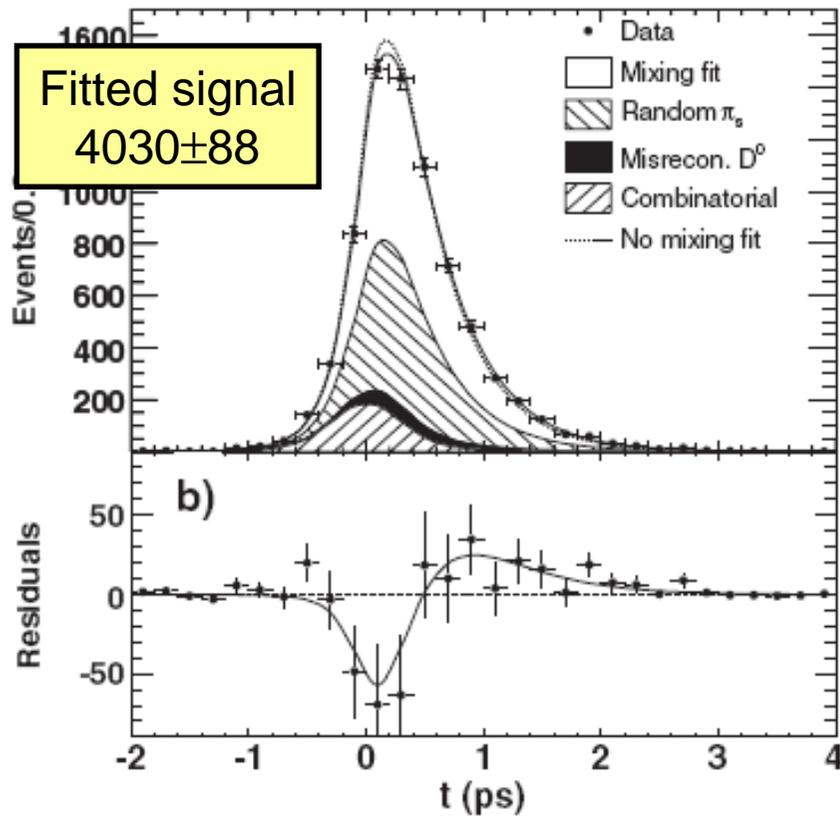
D^0 - \bar{D}^0 relative strong phase.
Phase depends on D^0 decay channel

Analysis of the **proper time distribution** of WS events permits extraction of D^0 mixing parameters y' and x'^2

TD WS hadronic decays. $D^0 \rightarrow K^+ \pi^-$



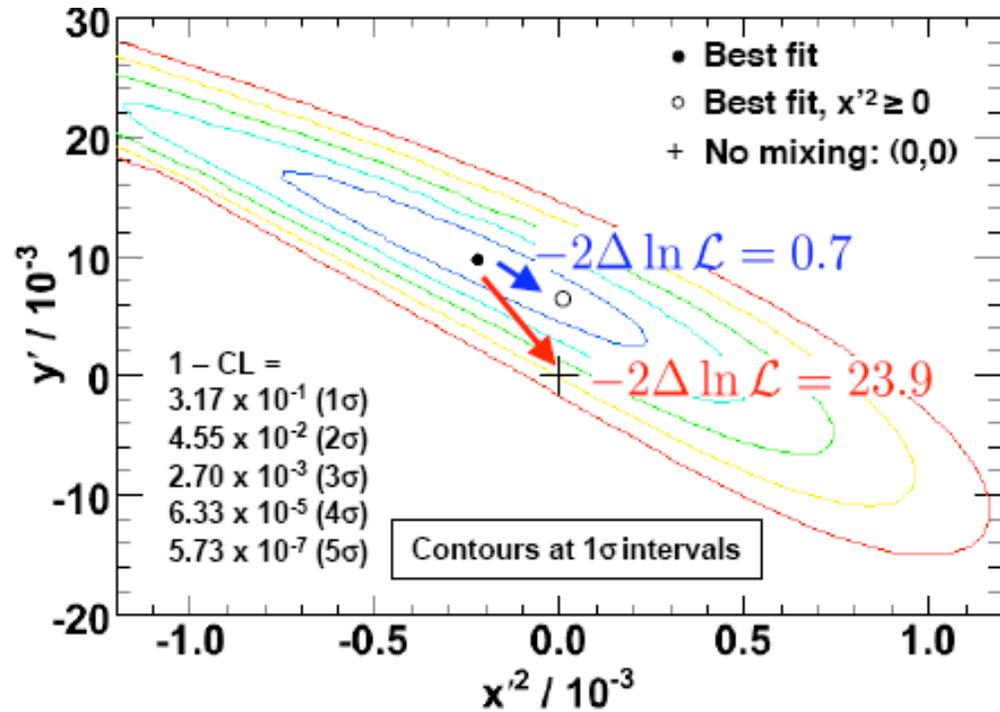
384 fb⁻¹ ~ 500M c \bar{c} events
PRL98:211802,2007



$$R_D = (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$$

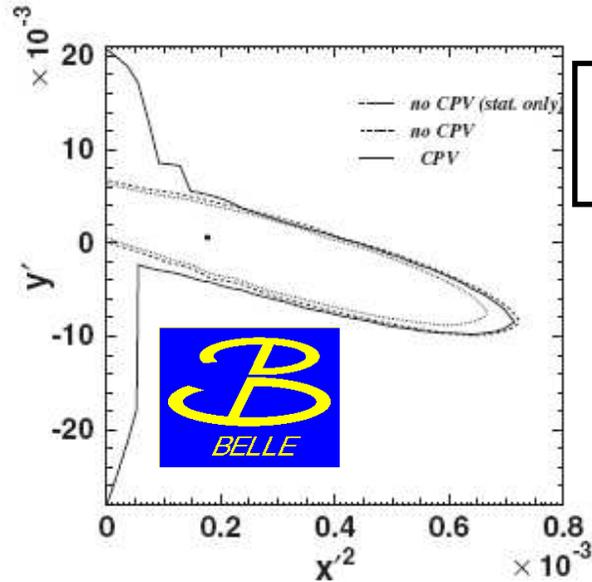
$$x^2 = (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$$

$$y' = (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$$



- Principal systematic modeling long decay time component in the signal region
- Difference between no mixing and mixing fits is observed in the residual
- Best fit point is in the non physical region $x^2 < 0$
- **Mixing evidence @ 3.9 σ**
- **CP** conserving case

TD WS hadronic decays. $D^0 \rightarrow K^+ \pi^-$

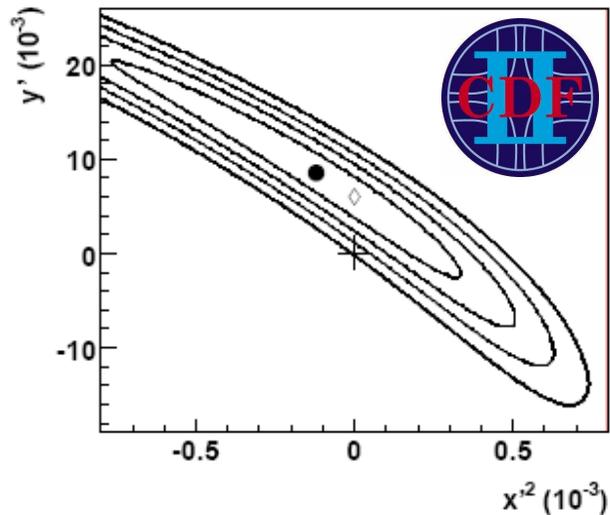


Data sample 400 fb^{-1}
PRL96:151801,2006

Fitted signal
 4024 ± 88

Mixing evidence @ 2σ

Fit case	Parameter	Fit result ($\times 10^{-3}$)	95% C.L. interval ($\times 10^{-3}$)
No CPV	R_D	3.64 ± 0.17	(3.3, 4.0)
	χ^2	$0.18^{+0.21}_{-0.23}$	< 0.72
	y'	$0.6^{+4.0}_{-3.9}$	(-9.9, 6.8)
	R_M	...	$(0.63 \times 10^{-5}, 0.40)$
CPV	A_D	23 ± 47	(-76, 107)
	A_M	670 ± 1200	(-995, 1000)
	χ^2	...	< 0.72
	y'	...	(-28, 21)
	R_M	...	< 0.40
No mixing	R_D	$3.77 \pm 0.08(\text{stat.}) \pm 0.05(\text{syst.})$	



Data sample 1.5 fb^{-1}
arXiv:0712.1567 (preliminary)

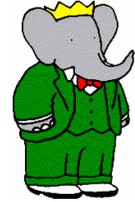
Fitted signal
(12.7 ± 0.3)K

Mixing evidence @ 3.8σ

Fit type	$R_D(10^{-3})$	$y'(10^{-3})$	$\chi^2(10^{-3})$	$\chi^2 / \text{d.o.f.}$
Unconstrained	3.04 ± 0.55	8.5 ± 7.6	-0.12 ± 0.35	19.2 / 17
Physically allowed	3.22 ± 0.23	6.0 ± 1.4	0	19.3 / 18
No mixing	4.15 ± 0.10	0	0	36.8 / 19

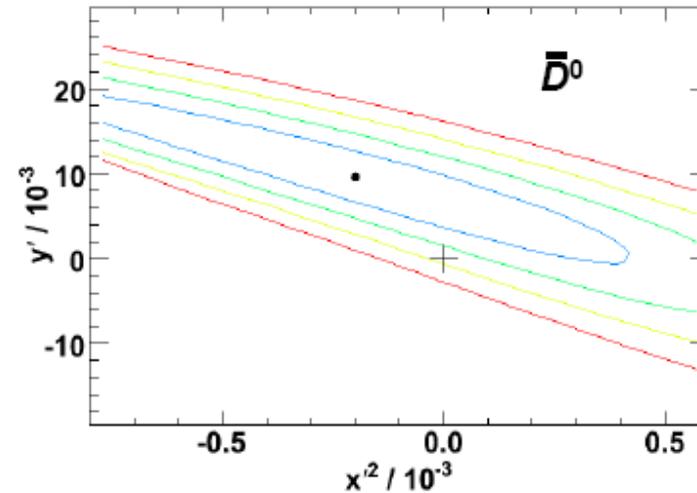
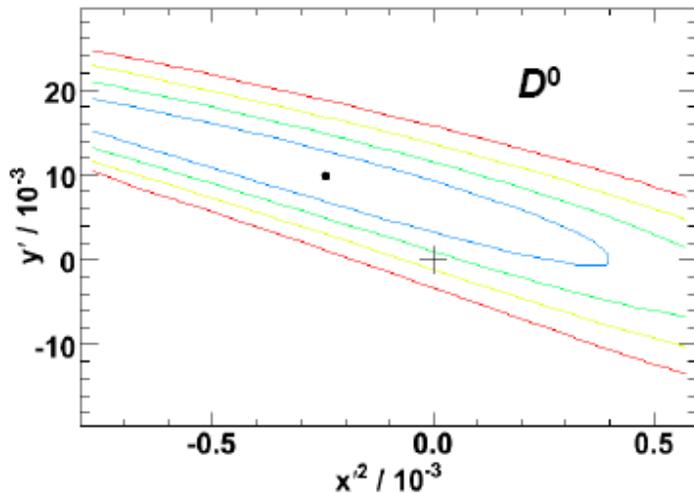
TD WS hadronic decays. $D^0 \rightarrow K^+ \pi^-$

Fit to D^0 and \bar{D}^0 independently



$$\begin{aligned} \chi'^{2+} &= (-0.24 \pm 0.43 \pm 0.30) \times 10^{-3} \\ y'^+ &= (9.8 \pm 6.4 \pm 4.5) \times 10^{-3} \end{aligned}$$

$$\begin{aligned} \chi'^{2-} &= (-0.20 \pm 0.41 \pm 0.29) \times 10^{-3} \\ y'^- &= (9.6 \pm 6.1 \pm 4.3) \times 10^{-3} \end{aligned}$$



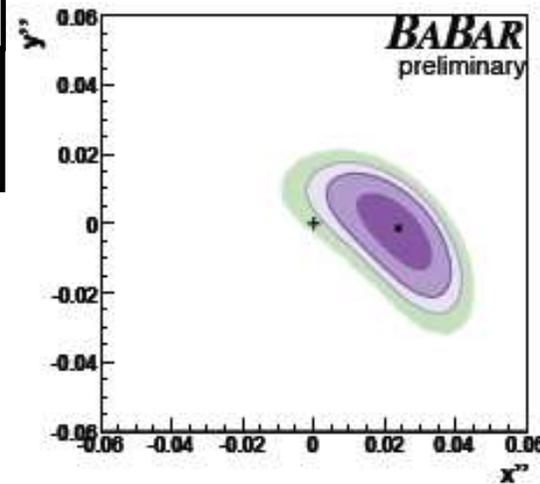
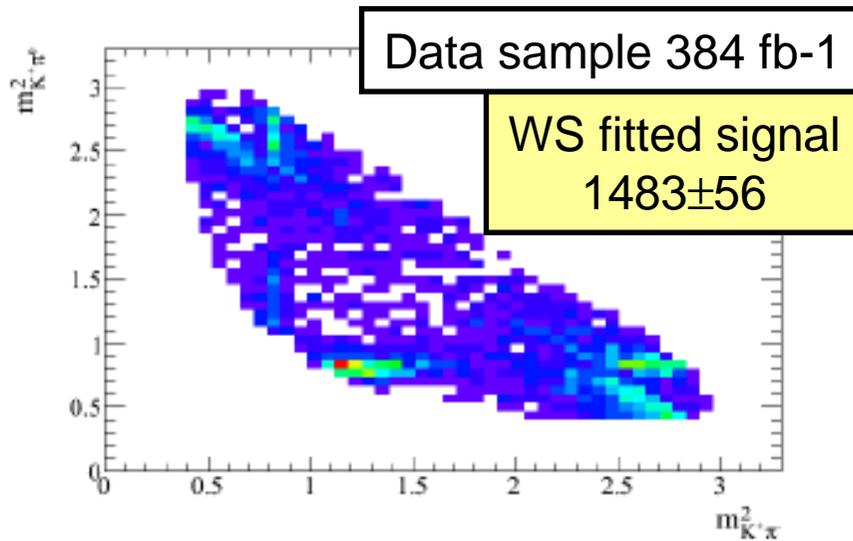
$$A_D = \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-}$$

$$A_D = (-21 \pm 52 \pm 15) \times 10^{-3}$$

Results compatible with no CPV

TD WS hadronic decays. $D^0 \rightarrow K^+ \pi^- \pi^0$

- As in $K\pi$ case, D^0 flavor at decay is tagged with the charge of the kaon
- Amplitudes and phases of the DCS and CF vary across the Dalitz plot
- Time dependence is function of the Dalitz plot
- Uses time dependent analysis to separate DCS from mixing events



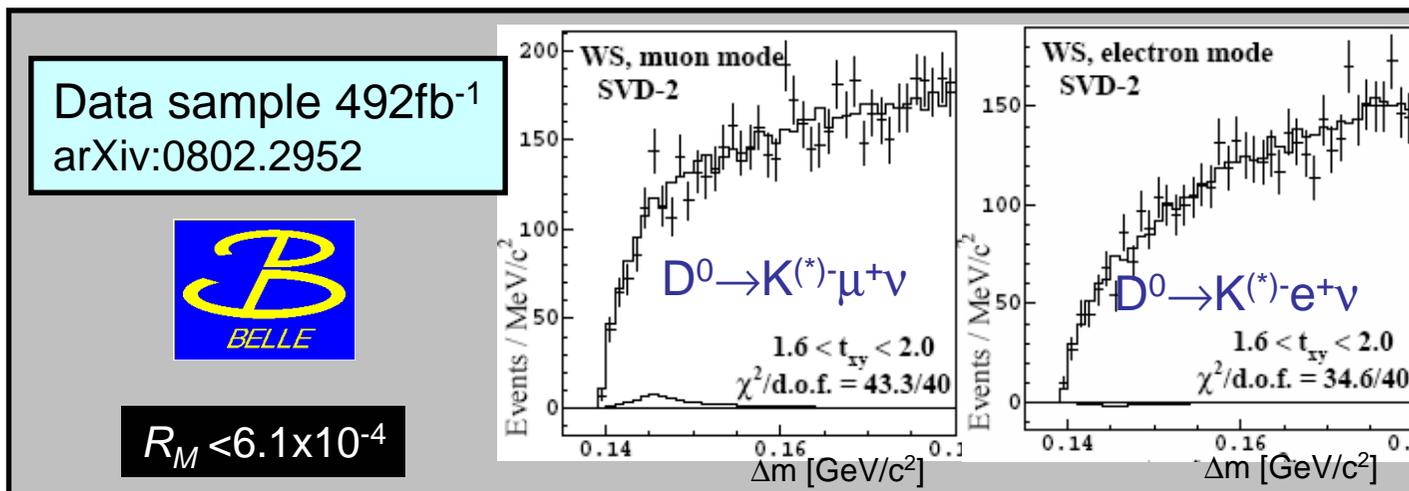
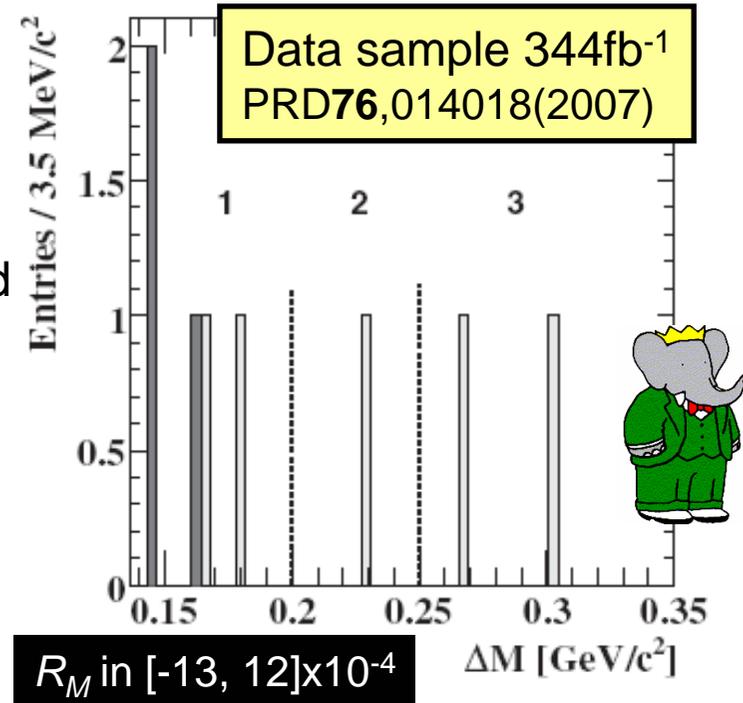
$$\begin{aligned}
 x'' &= x \cos \delta_{K\pi\pi^0} + y \sin \delta_{K\pi\pi^0} \\
 y'' &= -x \sin \delta_{K\pi\pi^0} + y \cos \delta_{K\pi\pi^0} \\
 R_M &= \frac{x''^2 + y''^2}{2}
 \end{aligned}$$

$$\begin{aligned}
 R_M &= (2.9 \pm 1.6) \times 10^{-4} \\
 x'' &= (2.39 \pm 0.61 \pm 0.32)\% \\
 y'' &= (-0.14 \pm 0.60 \pm 0.40)\%
 \end{aligned}$$

Results exclude no mixing @ 99.2%

TD semileptonic decays. $D^0 \rightarrow K^{(*)} e^+ \nu$

- Similar to WS TD hadron decays, but...
 - No DCS decay allowed
 - Any WS observation will be interpreted as mixing
- Missing ν makes selection and reconstruction hard
 - WS/mixing reconstruction implies a very challenging rejection of bkg events
- Exclusive reconstruction of the recoil D meson
 - Kinematical constraints and bkg suppression (fake WS soft pions). Double tag at production.
- D^0 flavor tagged at decay using the charge of the kaon and tagged also in production



- 3 sig evts
- 2.8 expected bkg evts
- Net WS signal yield 0.15 events

No mixing evidence

Lifetime ratio, $D^0 \rightarrow h^+ h^-$ vs. $D^0 \rightarrow K^- \pi^+$

➤ D^0 - \bar{D}^0 mixing and CPV alter decay time distributions of CP eigenstates. A good approximation are exponential distributions with effective lifetimes, τ_{hh}^\pm

$$\tau_{hh}^+ = \tau_{K\pi} \left[1 + \left| \frac{q}{p} \right| (y \cos \phi_f - x \sin \phi_f) \right]^{-1}$$

$$\tau_{hh}^- = \tau_{K\pi} \left[1 + \left| \frac{p}{q} \right| (y \cos \phi_f + x \sin \phi_f) \right]^{-1}$$

$$\phi_f = \arg \left(\frac{q \bar{A}_f}{p A_f} \right), \quad h = K, \pi$$

Measured quantities

$$\tau_{hh}^+ = \tau (D^0 \rightarrow h^+ h^-)$$

$$\tau_{hh}^- = \tau (\bar{D}^0 \rightarrow h^+ h^-)$$

$$\tau_{K\pi} = \tau (D^0 \rightarrow K^- \pi^+)$$

➤ The mixing and CPV observables are:

$$y_{CP} = y \cos \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} - 1$$

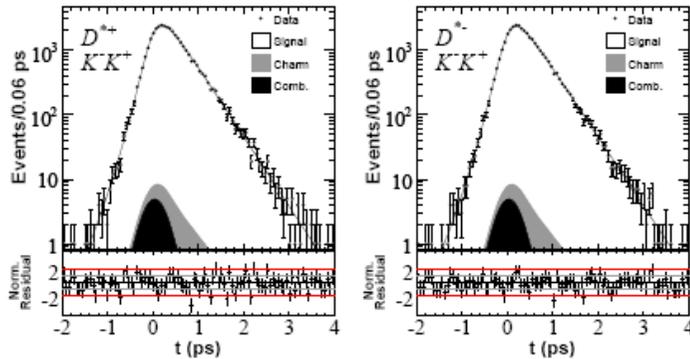
$$\Delta Y = x \sin \phi_f = \frac{\tau_{K\pi}}{\langle \tau_{hh} \rangle} A_\tau$$

$$\langle \tau_{hh} \rangle = \frac{\tau_{hh}^+ + \tau_{hh}^-}{2}, \quad A_\tau = \frac{\tau_{hh}^+ - \tau_{hh}^-}{\tau_{hh}^+ + \tau_{hh}^-}$$

Lifetime average and asymmetry

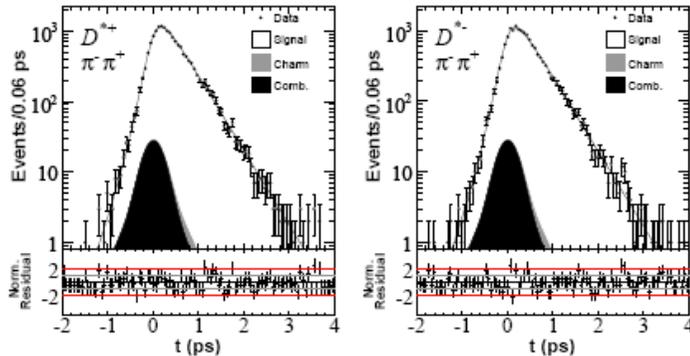
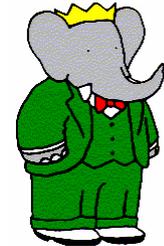
➤ $y_{CP}=y$ and $\Delta Y=0$ if CP conserved, $y_{CP}=0$ and $\Delta Y=0$ if no mixing

Lifetime ratio, $D^0 \rightarrow h^+ h^-$ vs. $D^0 \rightarrow K^- \pi^+$



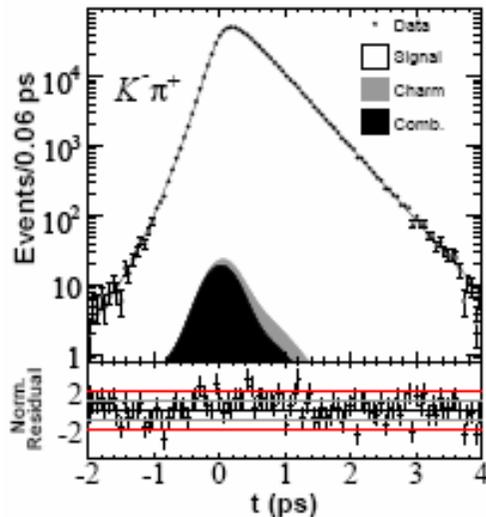
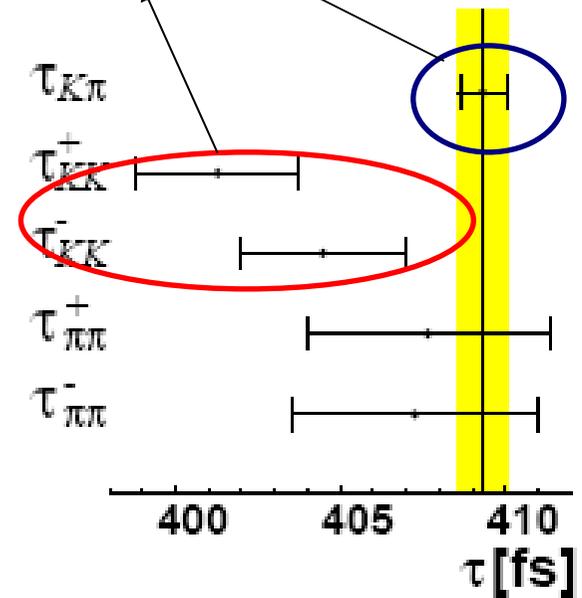
KK
70k evts
99.6% purity

$L = 384 \text{ fb}^{-1}$
arXiv:0712.2249



$\pi\pi$
30k evts
98.0% purity

$K\pi$ and KK lifetimes differ !!!
 $y_{CP} \neq 0$



$K\pi$
730k evts
99.9% purity

Lifetime ratio, $D^0 \rightarrow h^+ h^-$ vs. $D^0 \rightarrow K^- \pi^+$

- Most signal systematic error is cancelled in the ratio.
- Systematic error contribution mostly due to bkg

$L = 384\text{fb}^{-1}$
arXiv:0712.2249



$L = 540\text{fb}^{-1}$
PRL98:211803(2007)



Sample	y_{CP}	ΔY
$K^- K^+$	$(1.60 \pm 0.46 \pm 0.17)\%$	$(-0.40 \pm 0.44 \pm 0.12)\%$
$\pi^- \pi^+$	$(0.46 \pm 0.65 \pm 0.25)\%$	$(0.05 \pm 0.64 \pm 0.32)\%$
Combined	$(1.24 \pm 0.39 \pm 0.13)\%$	$(-0.26 \pm 0.36 \pm 0.08)\%$

	y_{CP} (%)	A_{Γ} (%)
KK	$1.25 \pm 0.39 \pm 0.28$	$0.15 \pm 0.34 \pm 0.16$
$\pi\pi$	$1.44 \pm 0.57 \pm 0.42$	$-0.28 \pm 0.52 \pm 0.30$
$KK + \pi\pi$	$1.31 \pm 0.32 \pm 0.25$	$0.01 \pm 0.30 \pm 0.15$

Evidence of mixing @ 3σ

Evidence of mixing @ 3.2σ

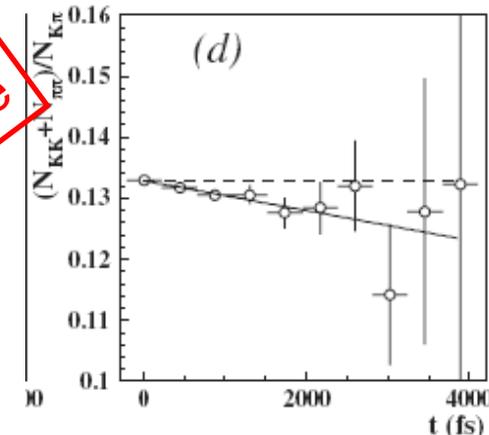
Ratio D^0_{CP}/D^0 varies in time due to lifetime difference

Combinning results with PRL91,162001(2001), an untagged statistically independent sample

$$y_{CP} = (1.03 \pm 0.33 \pm 0.19) \times 10^{-2}$$

arXiv:0712.2249
Submitted to PRD-RC

No CPV evidence



Time Integrated CPV Analyses

Time Integrated (TI) CPV searches.



- CP-even final states. Single-Cabibbo-suppressed (SCS) modes
- CPV in these modes is predicted to be $\sim 10^{-4}$ - 10^{-5} in SM. Evidence of CPV with current experimental sensitivity is sign of physics beyond SM

F. Buccella et al., Phys. Rev. **D51**, 3478 (1995)
S. Bianco et al., Riv. Nuovo Cim. 26N7, 1(2003)
Y. Grossman et al., Phys. Rev. **D75**, 036008 (2007)

- Measurement of the asymmetries of the partial decay widths.
- Asymmetry includes the 3 possible CP violation sources: mixing, decay and interference

$$a_{CP}^{hh} = \frac{\Gamma(D^0 \rightarrow h^- h^+) - \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}{\Gamma(D^0 \rightarrow h^- h^+) + \Gamma(\bar{D}^0 \rightarrow h^+ h^-)}$$

- Precise D^0 flavor tag is the main experimental concern.
 - $D^0 \rightarrow K^- \pi^+$ is used for this purpose
- Forward-Backward asymmetry in $c\bar{c}$ production \sim few%.

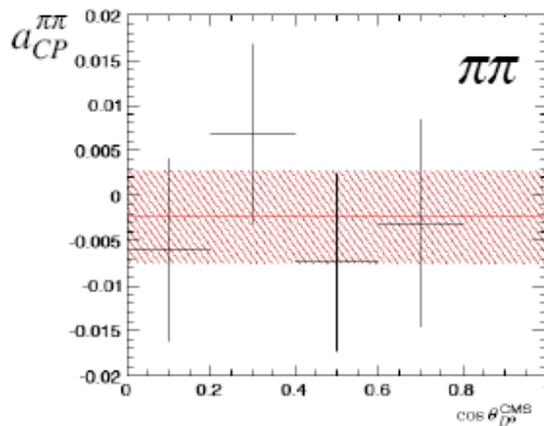
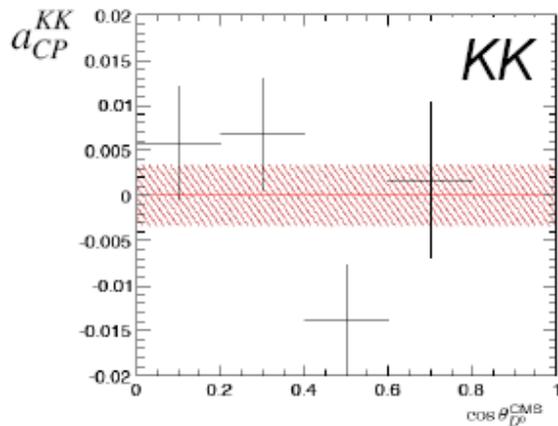
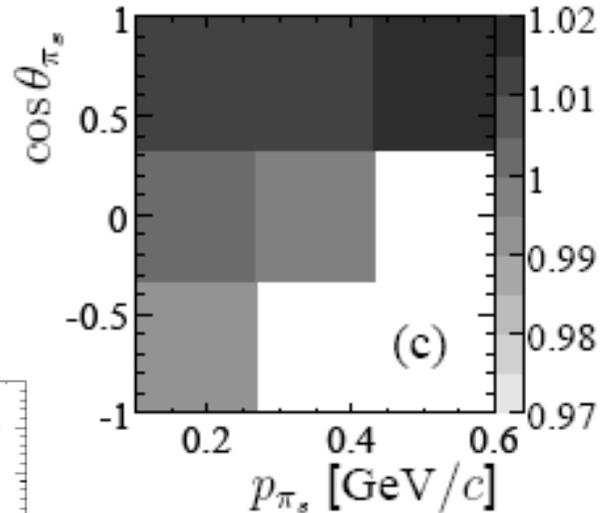
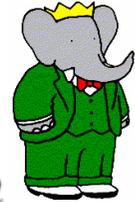
TI CPV searches. $D^0 \rightarrow K^+K^-, \pi^+\pi^-$

➤ Determine relative D^0/\bar{D}^0 soft pion tagging efficiency using $D^0 \rightarrow K^-\pi^+$ sample.

➤ Reduces systematic uncertainties

Category	Δa_{CP}^{KK}	$\Delta a_{CP}^{\pi\pi}$
2-Dim. PDF shapes	$\pm 0.04\%$	$\pm 0.05\%$
π_s correction	$\pm 0.08\%$	$\pm 0.08\%$
a_{CP} extraction	$\pm 0.09\%$	$\pm 0.20\%$
Quadrature sum	$\pm 0.13\%$	$\pm 0.22\%$

Data sample 385fb⁻¹
arXiv:0709.2715



$$a_{CP}^{KK} = (0.00 \pm 0.34 \text{ (stat.)} \pm 0.13 \text{ (syst.)})\%$$

$$a_{CP}^{\pi\pi} = (-0.24 \pm 0.52 \text{ (stat.)} \pm 0.22 \text{ (syst.)})\%$$

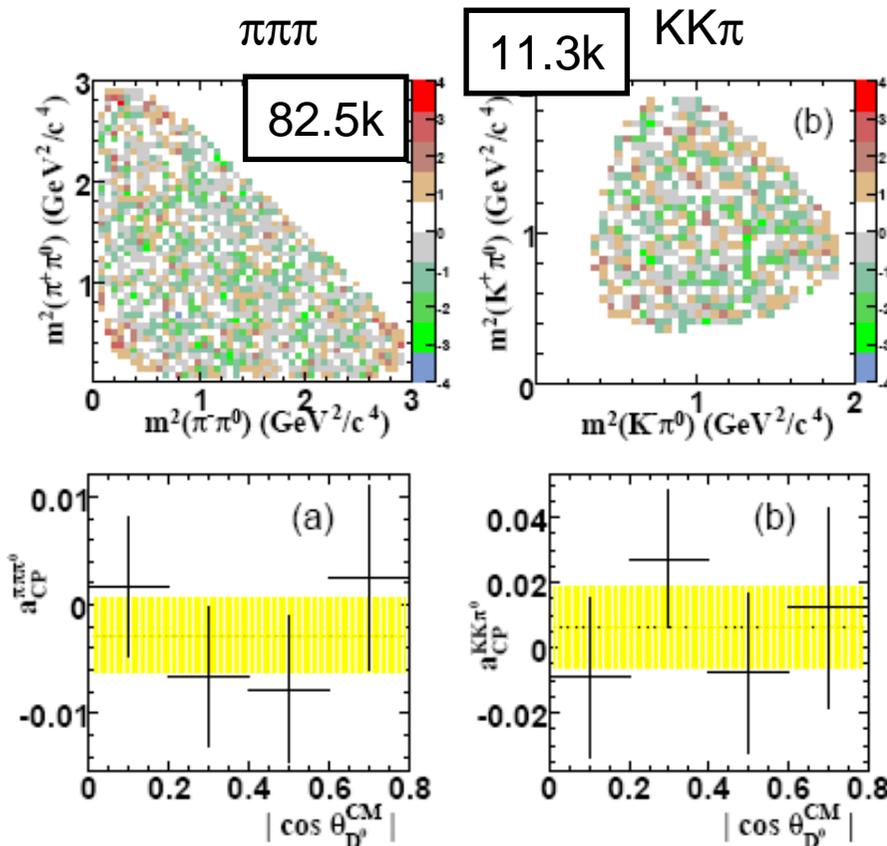
No CPV evidence

TI CPV searches. $D^0 \rightarrow K^+ K^- \pi^0, \pi^+ \pi^- \pi^0$

- Difference between D^0 and \bar{D}^0 Dalitz plot in 2D
- Difference in the angular moments of D^0 and \bar{D}^0
- Difference in Dalitz plot fit. Amplitudes and phases
- Difference in phase space integrated asymmetry



Data sample 385fb⁻¹
arXiv:0802.4035



$$\Delta = \frac{n_{\bar{D}^0} - R n_{D^0}}{\sqrt{\sigma_{n_{\bar{D}^0}}^2 + R^2 \sigma_{n_{D^0}}^2}}$$

Phase space integrated asymmetry a_{CP}

$$a_{CP}^{\pi^+ \pi^- \pi^0} = (-0.31 \pm 0.41 \pm 0.17) \%$$

$$a_{CP}^{K^+ K^- \pi^0} = (1.00 \pm 1.67 \pm 0.25) \%$$



Data sample 532fb⁻¹
arXiv:0801.2439

$$A_{CP}(D^0 \rightarrow \pi^+ \pi^- \pi^0) = (0.43 \pm 1.30) \%$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \pi^0) = 0.1012 \pm 0.0004 \pm 0.0018$$

$$\frac{\mathcal{B}(D^0 \rightarrow K^- \pi^+ \pi^0)}{\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \pi^0)}$$

Summary

- Mixing and CPV results in BaBar are compatible with results found in other experiments
- No single mixing measurement exceeds 5σ
- Combined significance of oscillating effects exceeds 5σ , charm oscillations are established
- Not clear yet if oscillation is due to $x \neq 0$ or $y \neq 0$.
- No CPV evidence in decay, mixing and interference

